

2071 \$

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/539,918
		Filing Date	March 31, 2000
		First Named Inventor	James M. Florence
		Group Art Unit	2871
		Examiner Name	Jeanne A. Di Grassio
Total Number of Pages in this Submission	52	Attorney Docket Number	7146.0063

ENCLOSURES (check all that apply)

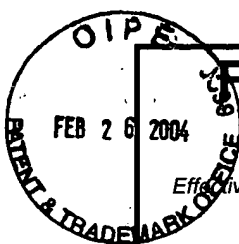
<input checked="" type="checkbox"/> Fee transmittal <input type="checkbox"/> Fee attached form <input type="checkbox"/> Amendment <input type="checkbox"/> After Final/ Response <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing Related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosures (identify below) prior art references; Check for \$180; and an acknowledgment postcard
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP
Signature	
Date	February 23, 2004

CERTIFICATE OF MAILING

I hereby certify that, on the date below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313. <input checked="" type="checkbox"/> with sufficient postage as first class mail <input type="checkbox"/> as "Express Mail Post Office to Addressee" - mailing label no. _____			
Type or print name	Kevin L. Russell		
Signature		Date	February 23, 2004



FEE TRANSMITTAL for FY 2004

Effective 10/1/2003. Patent fees are subject to annual revision.

Complete If Known

Application Number	09/539,918
Filing Date	March 31, 2000
First Named Inventor	James M. Florence
Examiner Name	N/A
Art Unit	N / A
Attorney Docket No.	7146.0063

☐ Applicant claims small entity status. See 37CFR 1.27

TOTAL AMOUNT OF PAYMENT \$180

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number

03-1550

Deposit Account Name

Chernoff Vilhauer McClung & Stenzel

The Commissioner is authorized to:(check all that apply)

☐ Charge fees indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge any fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			\$0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20	**= 0 x	18	0
Indep. Claims 3	**= 0 x	86	0
Multiple Dependent			

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	**Reissue independent claims over original patent	
1205 18	2205 9	*Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$0

**or number of previously paid, if greater. For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex-parte reexamination	
1804	920	1804	920	Requesting publication of SIR prior to Examiner action	
1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt.	180
8021	40	8021	40	Recording each patent assignment per property (times no. of properties) - total assignments 1	
1809		2809		Filing a submission after final rejection (37 C.F.R. 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
* Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	\$180

SUBMITTED BY

Complete (if applicable)

Name (print type)	Kevin L. Russell	Registration No.	38,292	Telephone	(503) 227-5631
Signature		Date	February 23, 2004		

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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION EXAMINING OPERATIONS

Applicant: James M. Florence Group Art Unit: 2871
Serial No.: 09/539,918 Examiner: Jeanne A. Di Grassio
Filed: March 30, 2000
Title: PROJECTION DISPLAY SYSTEMS FOR LIGHT VALVES

INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 CFR §1.98

Chernoff, Vilhauer, McClung & Stenzel, LLP
1600 ODS Tower
601 S W Second Avenue
Portland, Oregon 97204-3157

February 23, 2004

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Dear Sir:

Applicants submit herewith a list of the publications of which they are aware and which they desire to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being submitted after the mailing date of a first Office Action on the merits of the above-identified application, and we enclose Fee Sheet 2004 in duplicate along with out check in the amount of \$180.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

03/02/2004 DTESSEM1 00000136 031550 09539918

01 FC:1806 180.00 DA

A list of the publications is enclosed herewith on the attached page of Form PTO-1449 (Modified). Additionally, the publications listed are enclosed herewith. Applicant would respectfully request that the Examiner initial this form where indicated, and return an acknowledgment copy to the applicant to confirm that the listed references were received and considered.

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,




Kevin L. Russell
Attorney for Applicant
Registration No. 38292
Tel: (503) 227-5631

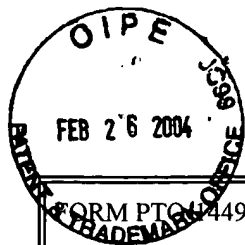
CERTIFICATE OF MAILING

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Dated: February 23, 2004



Kevin L. Russell



FORM PTO 449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. KLR 7146.0063	SERIAL NO. 09/539,918
	APPLICANT: James M. Florence	
	FILING DATE March 30, 2000	GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

	AA	5,381,278	Jan. 10, 1995	Shingaki, et al.			
	AB	5,221,982	Jun. 22, 1993	Faris			
	AC						
	AD						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	EP 0 821 258 A2	23.07.1997	EPO				
	BB	EP 0 720 040 A2	29.12.1995	EPO				

OTHER ART

	CA	EPO Patent Abstracts of Japan, Publication Number 08304739; publication date 22-11-96; Application Number 08034127; Application Date 21-02-96. Seiko Epson Corp. Applicant; Komeno Kunio, Inventor. Title: POLARIZED LIGHT ILLUMINATOR AND PROJECTION TYPE DISPLAY DEVICE.
	CB	
	CC	
	CD	

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language translation is attached.